Lattice-matched III-nitride structures comprising BAlN, BGaN, and AlGaN for ultraviolet applications

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Lattice-matched III-nitride structures comprising BAlN, BGaN, and AlGaN for ultraviolet applications

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Abstract
The optical properties of BAlN, BGaN and AlGaN ternary alloys are investigated using hybrid density functional for the design of lattice-matched optical structures in the ultraviolet spectrum. The calculated AlGaN properties agree well with previous reports, validating the model. A peculiar non-monotonic behavior of the refractive index as a function of the boron composition is found. The calculated AlGaN properties agree well with previous reports, validating the model. A peculiar non-monotonic behavior of the refractive index as a function of the boron composition is found. The results of this calculation are interpolated to generate a three-dimensional dataset, which can be employed for designing a countless number of lattice-matched and mismatched heterostructures. These heterostructures could span a range of operating wavelengths well into the deep ultraviolet with refractive indices ranging from 1.98 to 2.41 for AlN at 0 eV and GaN near the GaN bandgap, respectively. An example is shown where a lattice-matched heterostructure, AlN/B0.108Ga0.892N, is applied for DBR applications with a large index difference. A DBR comprising the AlN/B0.108Ga0.892N heterostructure at the UV wavelength of 375 nm is found to exceed 93% peak reflectivity with only 10 pairs and reaches 100% reflectivity with 35 pairs. For a chosen design with 25 pairs, the DBR has a peak reflectivity of 99.8% and a bandwidth of 26 nm fulfilling the requirements of most devices especially ultraviolet vertical-cavity surface emitting lasers.

1. Introduction
Wurtzite III-nitride semiconductor materials have many technologically important properties for applications in optical and electronic devices [1]. The III-nitride based optical devices can operate over a wide spectrum of wavelengths from infrared (IR) to ultraviolet (UV) [2] due to highly tunable bandgaps from ~0.7 to ~6 eV [3]. The interests in developing UV devices such as UV light-emitting diodes (LEDs), photodetectors, and lasers are rapidly rising for vital applications such as sterilization, data storage, biochemical sensing, atomic clocks, and communication [4–14].

Optical structures such as distributed Bragg reflectors (DBRs) are essential in UV surface-emitting lasers for cavity formation and UV LEDs for higher light extraction efficiency [15–17]. DBRs are dielectric or semiconducting superlattices capable of achieving up to 100% reflectivity. However, the formation of cracks and compromised crystal quality due to strain and lattice mismatch can greatly harm a DBR’s performance [18]. Thus, both lattice matching and large refractive index contrast are desirable especially for epitaxial DBRs. In the past, AlGaN-based heterojunctions, including AlxGa1-xN/AlxGa1-xN superlattices, have been used for various UV applications where the higher index contrast leads to higher lattice mismatch [19–21]. In theory, InAlN would be suitable for lattice matching with AlGaN alloys, though it could be challenging to grow high quality InAlN with large indium composition due to large atomic size difference, phase separation, and epitaxial temperature incompatibility between InN (~600 °C) and AlN (~1100 °C) [22, 23]. This leads to relatively complex growth methods for the realization of near lattice-matched AlGaN/InAlN DBR [24].
Recently, the search for materials suitable for more UV and power devices in the wurtzite III-nitride system has inspired a number of studies of boron-containing III-nitrides including AlN and BAlN [18, 25–35]. Alloying III-nitrides with boron can reduce their lattice parameters giving a new option for strain engineering and lattice matching [36]. In addition, the incorporation of boron could lead to more alloys with larger bandgaps than that of GaN, adding more options for device engineering.

The refractive index is critical for the design and simulation of optoelectronic devices in the UV range. It has been experimentally shown that a small incorporation of boron into GaN and AlN can cause a significant change in the refractive index of materials [25, 26]. Recently, the full range of compositions of BAlN alloys has been investigated by Said et al using the local density approximation of density functional theory [28]. Additionally, Yamashita et al have studied wurtzite BxAl1−xN with low boron compositions (x < 0.5) also using the local density approximation [37]. However, it is well known that such local functionals could severely underestimate the bandgaps, redshift absorption spectra, miss excitonic features in dielectric function, and have a tendency to overestimate the static dielectric function as Paier et al have shown [38]. This is an issue because the refractive index is an electronic-band-structure dependent property and most models assume an inverse correlation of the index with bandgap (see supporting information). In comparison, the use of hybrid functionals gives more reliable results. This led both Said et al and Yamashita et al to employ a rigid shift of the conduction band to compensate for the limitations of the local density approximation.

In this work, we performed computations of the lattice parameters and the refractive indices of the BxAl1−xN and BxGa1−xN alloys (0 ≤ x ≤ 1). The index calculation of the AlxGa1−xN alloys (0 ≤ x ≤ 1) was carried out to compare with the reports of the extensively studied AlxGa1−xN alloys for validating the calculation methodology [39, 40]. Hybrid density functional theory was employed for an accurate calculation of the optical properties. Furthermore, the refractive indices of the three ternary alloys and the lattice constants were employed to identify lattice-matched material pairs with a large index difference for DBR applications.

2. Computational details

The calculations were carried out using the Vienna Ab initio Simulation Package [41, 42]. Before calculating the indices, the wurtzite structures of the alloys were optimized using the general gradient approximation (GGA-PBEsol) of the exchange-correlation potential [43]. The energy cutoff was set to 520 eV for the plane-wave basis set. The structure optimization was performed on primitive cells for the binary materials, i.e. BN, AlN, and GaN; and on 16-atom supercells for the ternary AxB1−xN alloys (A = B, Al; C = Al, Ga; 0 ≤ x ≤ 1) with chalcopyrite-like (CH) and luzonite-like (LZ) structures for x = 0.5, and 0.25 and 0.75, respectively [29]. In addition, the calculation was performed for BxAl1−xN and BxGa1−xN alloys with x = 0.125, representing the alloys with lower boron compositions, closer to experimental works [18, 30, 31, 35, 36]. For these two alloys, we utilized the same LZ structure as x = 0.25, but with the replacement of one boron atom with an aluminum or a gallium atom. All structures were relaxed until Hellman–Feynman forces reached less than 0.02 eVÅ−1. The Γ-centered k-mesh was set to 6 × 6 × 6 for the structural optimizations. Figure 1 shows the calculated lattice parameters of the AlxGa1−xN, BxAl1−xN, and BxGa1−xN alloys (0 ≤ x ≤ 1), where the results of binaries and ternaries show good agreement with reported experimental and theoretical discoveries [29, 44].

To calculate the optical properties, the hybrid functional of Heyd, Scuseria, and Ernzerhof (HSE) [45] was employed using the optimized lattice structures assuming the light polarization is perpendicular to the c-axis. Similar to the structure optimization, 520 eV was utilized as the energy cutoff for the plane-wave basis set. Γ-centered k-meshes of 8 × 8 × 8 and 6 × 6 × 6 were adopted for the binaries and ternaries, respectively. The number of bands was converged for optical properties, and 96 bands were used for all cells. The same parameters were used for the AlGaN, BAIN, and BGaN alloys.

3. Results and discussion

The refractive and absorption indices of AlGaN alloys, shown in figures 2(a) and (b), have a monotonic trend where both the index and its slope increase as the Ga content is increased (Al is decreased). Figures 2(c) and (d) compare our results with experimental results measured at room temperature, showing similar trends and degrees of dispersion (slope of refractive index versus energy) [39, 40]. Our model underestimates the refractive index by a small percentage between 0 and 6.5% depending on composition, which is a smaller error than found by other methods [46, 47]. Additionally, this error may be related to the temperature dependence of the refractive index which is not accounted for in our model as density functional theory does not account for temperature by default [48, 49].

The calculated values for boron alloys are reported in figure 3. Both absorption and refractive indices are calculated using the complex dielectric function (supporting information) as described in the following
Figure 1. Calculated lattice parameters $a$ and $c$ of different wurtzite $A_xC_{1-x}N$ alloys ($A = B, Al; C = Al, Ga; 0 \leq x \leq 1$) compared to those of the binary wurtzite materials from [44] (marked by the dashed red lines).

Figure 2. (a) Refractive indices and (b) absorption indices of the $Al_{x}Ga_{1-x}N$ alloys. (c) and (d) Comparison of the refractive index of $Al_{x}Ga_{1-x}N$ obtained in this study and reported by Muth et al [40] and Takeuchi et al [39] through experimental works: (c) Static refractive index at 0 eV and (d) refractive index at 3.1 eV right below the GaN bandgap.

Figure 3. Refractive and absorption indices of the $B_xAl_{1-x}N$ (a) and (b) and $B_xGa_{1-x}N$ (c) and (d) alloys as a function of photon energy. The dashed lines in (d) show the curves for different absorption percentages when light goes through a 1 $\mu$m film (supporting information). The magenta stars in (c) show the refractive indices of BN as measured by Segura et al for comparison [51].
multiple factors simultaneously, including the target wavelength range, the lattice parameters, and the refractive
comparable to each other nor to ours
Gautier nor Watanabe reported on possible impurities and their levels; thus the results may not be directly
characterization technique used to estimate the boron composition was not reported by Watanabe; and neither
impurity such as carbon may both be at play amongst other unknown issues
composition causing a larger decrease in index in their results. Low
between some experimental results with our calculations is likely the result of factors other than boron
reason behind the dif
It is important to compare our results with the few experimental works investigating the optical properties of
wurtzite BN and its alloys. Recently, Segura et al measured the refractive index of wurtzite BN at the UV-visible
range [51]. The magenta stars in figure 3(c) show the comparison between Segura’s and our index values. It is
remarkable to see that the difference between the two is less than ∼5%, and that the slope in our result is similar
to the experimental value for BN at the energy range 1–5 eV [51]

Other earlier experimental works exploring the refractive indices of low boron composition BAIN and
BGaN show trends that are different from each other and from our results [25, 26, 53, 54]. See supporting
information for a detailed comparison. We believe that the apparent disagreement for both BGaN and BAIN
between some experimental results with our calculations is likely the result of factors other than boron
composition causing a larger decrease in index in their results. Low (wurtzite) crystallinity and high levels of
impurity such as carbon may both be at play amongst other unknown issues [55, 56]. Additionally, the
characterization technique used to estimate the boron composition was not reported by Watanabe; and neither
Gautier nor Watanabe reported on possible impurities and their levels; thus the results may not be directly
comparable to each other nor to ours [26, 54].

To design III-nitride optical structures including DBRs for UV applications, it is imperative to consider
multiple factors simultaneously, including the target wavelength range, the lattice parameters, and the refractive
index. The lattice parameters as a function of the composition (figure 1) and the refractive indices as a function
of wavelength (figures 2 and 3) of the AlxGa1−xN, BxAl1−xN, and BxGa1−xN alloys (0 ≤ x ≤ 1) were correlated
and two-dimensional (2D)-interpolated using the Modified Akima cubic Hermite method [57]. This
interpolation method was chosen due to its lower variations in the predicted variable than when using the spline
interpolation method [58]. Additionally, it has less sharp edges when compared to linear interpolation. This, we
believe, would give a more sensible prediction of refractive index curves with a high compositional resolution.
Subsequently, they were plotted in a three-dimensional (3D) chart shown in figure 4 for the design of optically-
transparent UV DBR pairs.

Based on the 3D chart in figure 4, one can identify a virtually infinite number of lattice-matched material
pairs with various refractive index differences important for minimized structural thickness and for strain
management. Additionally, one can name numerous material pairs with certain lattice mismatch levels for
device strain engineering while accommodating the need for maintaining certain index differences for different
applications [59, 60].

For instance, the index difference Δn between two lattice-matched and optically-transparent alloys as a
function of the lattice parameter and the wavelength can be extracted from figure 4, as shown in figure 5(a).
From figure 5(a), the overall largest index difference is formed between AlN and B0.108Ga0.892N with the same
lattice constant of 3.113 Å, ranging from 0.14 to 0.27 at 370 (UVA) – 1500 (IRB) nm. In general, the index
difference narrows when the lattice parameter shifts away from that of AlN towards those of GaN and BN.

Subsequently, the knowledge of the refractive index of lattice-matched material pairs can be utilized to
compute the theoretical bandwidth Δλ of the material pair at various wavelengths for the DBR according to
equation (2) where λd is the design wavelength, r is reflection coefficient, n1L and n2L are high and low refractive
indices, respectively [51]. The results are shown in figure 5(b). The overall largest bandwidth values are 26 – 65
nm at the wavelength range 370 – 1500 nm which is found for the same AlN/B0.108Ga0.892N pair due to it having
the largest index difference. Note that the bandwidth gradually reduces as the wavelength is reduced, which is the
reason behind the difficulty of designing a large bandwidth DBR for the UV range.

\[
n = \frac{1}{\sqrt{2}} \sqrt{\varepsilon_1^2 + \varepsilon_2^2 + \varepsilon_1},
\]
and
\[
\kappa = \frac{1}{\sqrt{2}} \sqrt{\varepsilon_1^2 + \varepsilon_2^2 - \varepsilon_1}
\]

\( n \) is the refractive index, \( \kappa \) is the absorption index, and \( \varepsilon_1 \) and \( \varepsilon_2 \) are the real and imaginary parts of the dielectric
function, respectively.

Figure 3 shows the refractive and absorption indices of the BAlN and BGaN alloys. The refractive indices
exhibit positive slopes; and the absorption indices stay close to zero then rise when approaching the respective
optical bandgaps [34, 52]. This is similar to other wurtzite nitrides such as AlGaN and InGaN. However, another
trend is observed when considering compositions where the refractive indices below the bandgaps of BAIN and
BGaN do not always decrease with reduced lattice parameters. See supporting information for an in depth
discussion.
Finally, the AlN/B_{0.108}Ga_{0.892}N pair was employed to simulate the performances of a few DBRs at a UVA wavelength of 375 nm. Accordingly, the thickness of the AlN and B_{0.108}Ga_{0.892}N layers are 45.5 and 40.2 nm, respectively, based on their refractive indices of 2.06 and 2.33 at 375 nm. This wavelength is chosen partially due to recent interests in developing the UVA vertical-cavity surface-emitting lasers (VCSELs) near 375 nm for important applications including the atomic clock [14, 62–65]. The simulation was conducted by Lumerical.
The assumption of no optical absorption was made since 375 nm is below the bandgaps of $\text{AlN}$ and $\text{B}_0.108\text{Ga}_{0.892}\text{N}$ (figure 3(d)) [52]. We started this simulation by sweeping different numbers of pairs to see the change in the peak reflectivity. Figure 6(a) indicates that the peak reflectivity reaches over 93% with only 10 pairs, exceeds 99% at 20 pairs, and plateaus after 25 pairs. The reflectivity spectrum of the 25-pair DBR is shown in figure 6(b), where the peak reflectivity is 99.8%. The bandwidth measured at 90% reflectivity is 26 nm as indicated by the arrow in figure 6(b).

Table 1 shows the comparison of the 10-pair and the 25-pair $\text{AlN}$/B$_{0.108}\text{Ga}_{0.892}\text{N}$ DBRs with a few reported DBRs designed for UVA applications, indicating superior properties of our proposed DBR which include no lattice mismatch, smaller number of pairs, higher reflectivity, and larger bandwidth. It is important to note that the results of this study can be extended to numerous other material pairs for lattice-matching or lattice-engineering needs at various wavelengths. Even though we focus on UV applications here, the results are applicable to longer wavelength such as visible and infrared, though lattice contributions to the dielectric behavior of these materials may need further study for a more accurate representation at wavelengths longer than the near infrared range.

### 4. Conclusion

We have investigated the refractive index of AlGaN, BAlN and BGaN alloys using hybrid density functional theory. The main goal is to design lattice-matched optical structures in the ultraviolet range. The AlGaN results were shown to reasonably match experimental reports. A peculiar behavior of the refractive indices of different boron alloys is found unlike other known nitrides. This unique behavior may be attributed to the electronic properties of the boron atom and the low slope of the refractive index of wurtzite BN. Our data is interpolated to fit different compositional needs, and could be used in designing a myriad of lattice-matched and lattice-mismatched heterostructures for photonic and optoelectronic devices. Structures made of these materials could benefit from the large range of refractive indices and refractive index differences for all wavelengths down to the deep UV range. A lattice-matched structure with a high difference in refractive index of B$_{0.108}\text{Ga}_{0.892}\text{N}$/AlN is...
chosen as an example. It is used to demonstrate an optimized DBR at 375 nm having a peak reflectivity of 99.8% and a bandwidth of 26 nm with only 25 pairs. The number of pairs could be increased or decreased to tune the DBR’s reflectivity. These results are useful for lattice matching and lattice engineering of UV compatible materials opening the door for different applications, including vertical emitters such as VCSELs.

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Data availability statement

All data that support the findings of this study are included within the article (and any supplementary files).

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